Serial No. N/A
Inventors: Bosworth-Davies et al.
Title: "COUNTERMEASURES FOR
IRREGULARITIES IN FINANCIAL
TRANSACTIONS"
Sheet 1/14

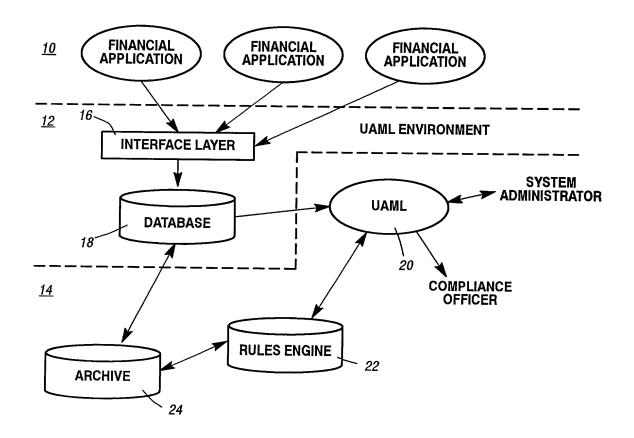
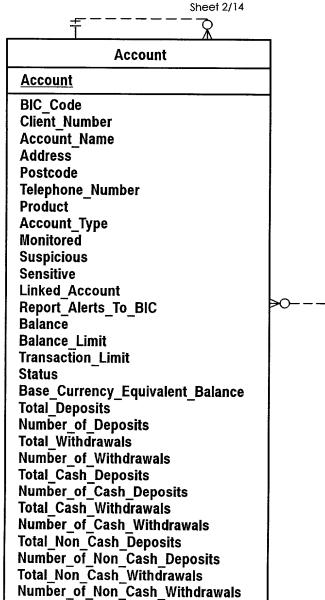


Figure 1

NameSound

Serial No. N/A
Inventors: Bosworth-Davies et al.
Title: "COUNTERMEASURES FOR
IRREGULARITIES IN FINANCIAL
TRANSACTIONS"



Client

Client Number Postcode Client Name Address Telephone Number Date of Birth **Date of Commencement Client Type** SIC **Linked Client** Sensitive Monitored Report Alert_To_BIC Balance **Balance Limit** Transaction Limit Total_Deposits **Number of Deposits** Total Withdrawals Number of Withdrawals Total Cash Deposits Number of Cash Deposits Total Cash Withdrawals

Transactions

Number of Cash Withdrawals

Number of Non Cash Deposits

Number of Non Cash Withdrawals

Total Non Cash Withdrawals

Total_Non_Cash_Deposits

Transaction_Reference

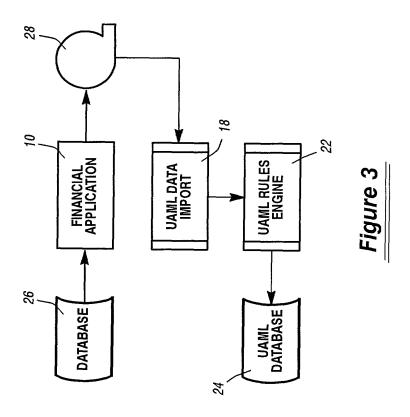
NameSound

Account

Currency_Code
Source
Amount
Transaction_Date
Remitter_or_Beneficiary_Country
Remitter_or_Beneficiary_Currency
Remitter_or_Beneficiary_BIC
Remitter_or_Beneficiary_Information
Transaction_Type
Suspicious
Manually_Entered
Reason_For_Suspicion
Base Currency Equivalent Amount

Figure 2

Selici No. 19/A Inventors: Bosworth-Davies et al. Title: "COUNTERMEASURES FOR IRREGULARITIES IN FINANCIAL TRANSACTIONS" Sheet 3/14



Inventors: Bosworth-Davies et al.
Title: "COUNTERMEASURES FOR
IRREGULARITIES IN FINANCIAL
TRANSACTIONS"
Sheet 4/14 BRANCH 9 RULE SET 3 54 36 REGIONAL OFFICE 3 RULE SET 2 BRANCH 8 RULE SET 3 52 20 BRANCH 7 RULE SET 3 48 BRANCH 6 NO RULE SET 30 34 REGIONAL OFFICE 2 NO RULE SET 46 HEAD OFFICE RULE SET 0 Figure 4 BRANCH 5 NO RULE SET 44 BRANCH 4 NO RULE SET 42 BRANCH 3 NO RULE SET REGIONAL OFFICE 1 RULE SET 1 40 BRANCH 2 RULE SET 3 38 BRANCH 1 NO RULE SET

Serial No. N/A

Inventors: Bosworth-Davies et al. Title: "COUNTERMEASURES FOR IRREGULARITIES IN FINANCIAL TRANSACTIONS" Sheet 5/14 Fig. 5b Figure 5 **5a** Fig. 9 8 Rule Set BIC Code 99 Rule Set Number Rule_Description
Parameter_I_Description
Parameter_I_Type
Parameter_2_Description
Parameter_2_Type
Parameter_3_Description
Parameter_3_Type
Farameter_3_Type BIC Code Rule_Set_Description Rule Set Weight Rule Set Number Rule Set Rule Rules Parameter 1 Value Parameter 2 Value Parameter 3 Value Weight Rule Set Number Rule Number Rule_Number Money_Laundering_Reporting_Officer
No_Alerts_To_Display
Report_To_BIC
Report_Transaction_Over_Amount
Report_Transaction_or_Account_Over_Score
Default_Rule_Set Office Felephone_Number Currency Code Retention Days Femplate Days Office_Name BIC_Code Postcode Address Average_Transaction_Amount Minimum_Account_Balance Maximum_Account_Balance 9/

Postcode

Postcode

Serial No. N/A

Serial No. N/A Inventors: Bosworth-Davies et al. Title: "COUNTERMEASURES FOR IRREGULARITIES IN FINANCIAL TRANSACTIONS" Sheet 6/14 20 Rule_Set_Currency Rule Set Number Currency Code Fig. 5b Figure 5 Fig. 5b Weight Fig. 5a 89 Rule_Set_Country Rule Set Number Country Code Weight 22 Rule_Set_Country_Currency Rule Set Number Country Code Currency Code Weight 64 Meta_Rule_Description Rule Set Meta Rule Meta Rule Meta Rule Name Rule Set Number Meta Rule Name Meta_Rule_Condition Weight Meta Rule Name Rule_Number

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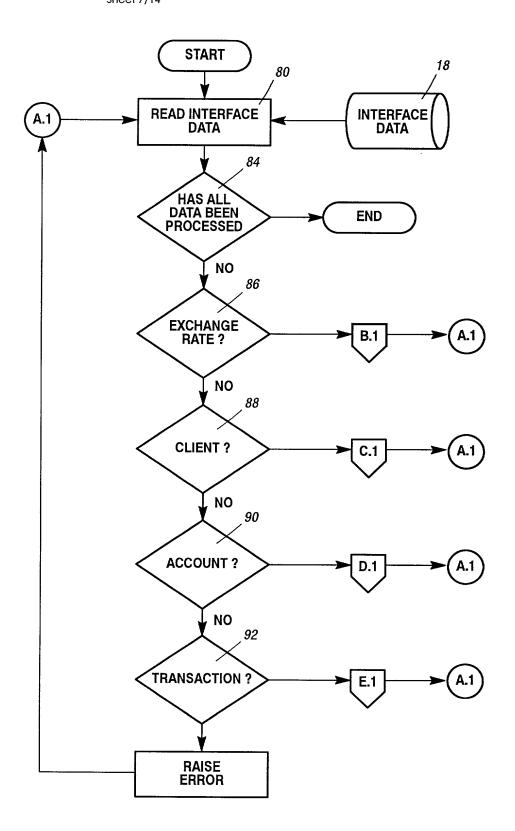


Figure 6

Serial No. N/A Inventors: Bosworth-Davies et al. Title: "COUNTERMEASURES FOR IRREGULARITIES IN FINANCIAL TRANSACTIONS" Sheet 8/14

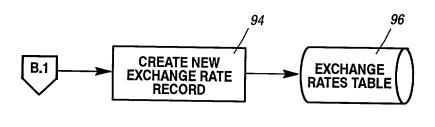


Figure 7

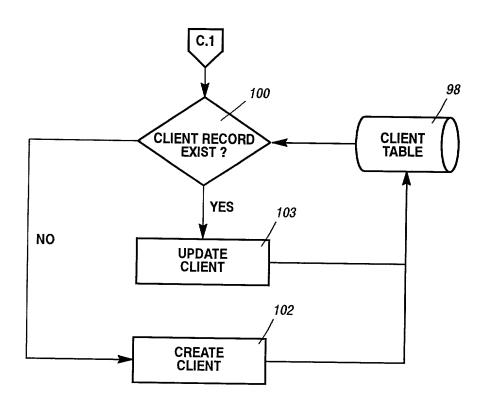
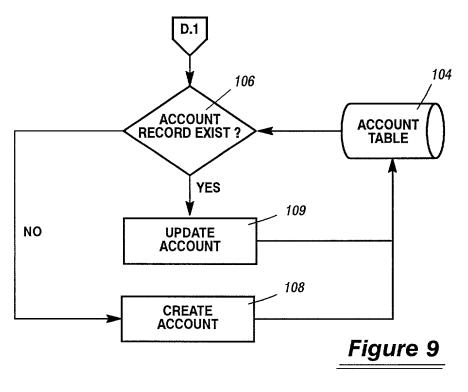
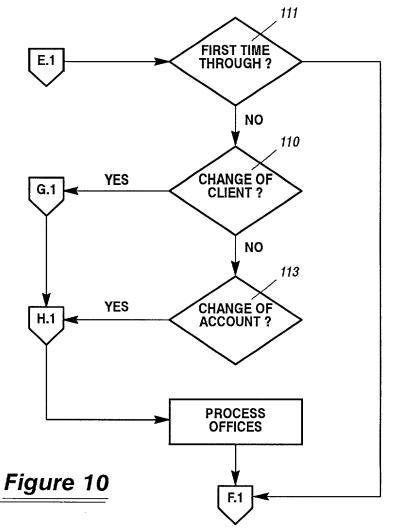


Figure 8

Serial No. N/A
Inventors: Bosworth-Davies et al.
Title: "COUNTERMEASURES FOR
IRREGULARITIES IN FINANCIAL
TRANSACTIONS"
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Serial No. IN223
Serial No. N/A
Inventors: Bosworth-Davies et al.
Title: "COUNTERMEASURES FOR
IRREGULARITIES IN FINANCIAL
TRANSACTIONS"
Sheet 10/14

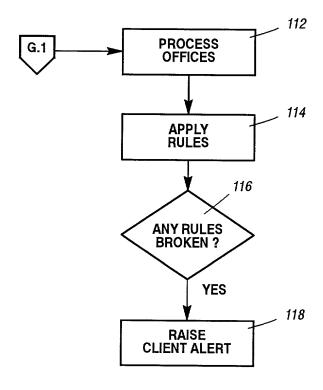


Figure 11

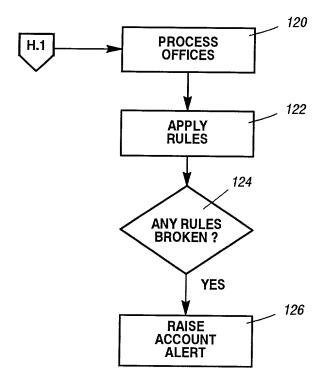


Figure 12

Serial No. N/A Inventors: Bosworth-Davies et al. Title: "COUNTERMEASURES FOR IRREGULARITIES IN FINANCIAL TRANSACTIONS" Sheet 11/14

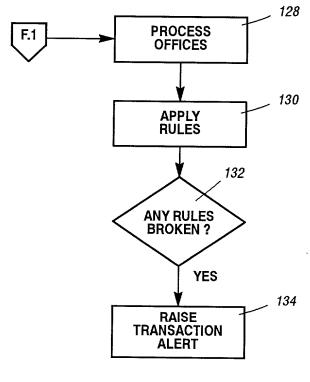


Figure 13

Serial No. N/A Inventors: Bosworth-Davies et al. Title: "COUNTERMEASURES FOR IRREGULARITIES IN FINANCIAL TRANSACTIONS" Sheet 12/14

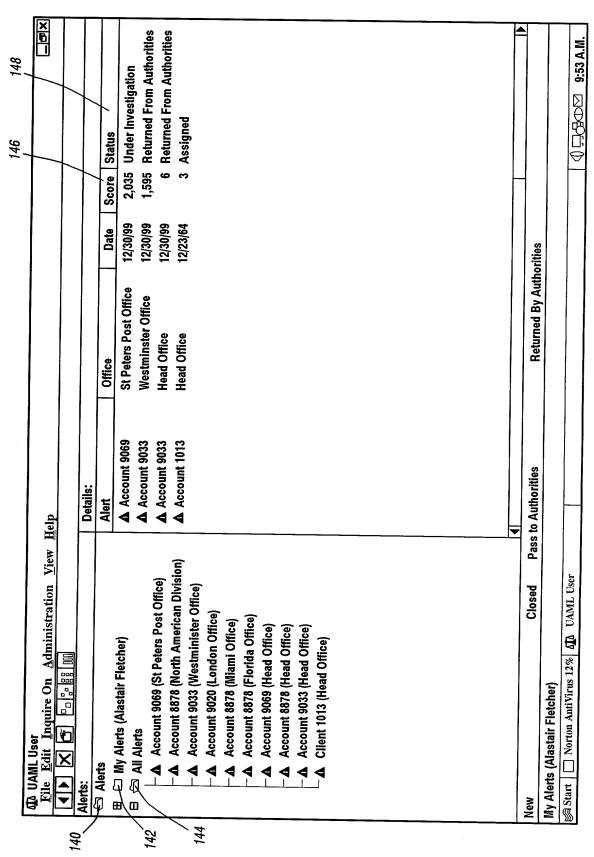


Figure 14

Serial No. N/A Inventors: Bosworth-Davies et al. Title: "COUNTERMEASURES FOR IRREGULARITIES IN FINANCIAL TRANSACTIONS" Sheet 13/14

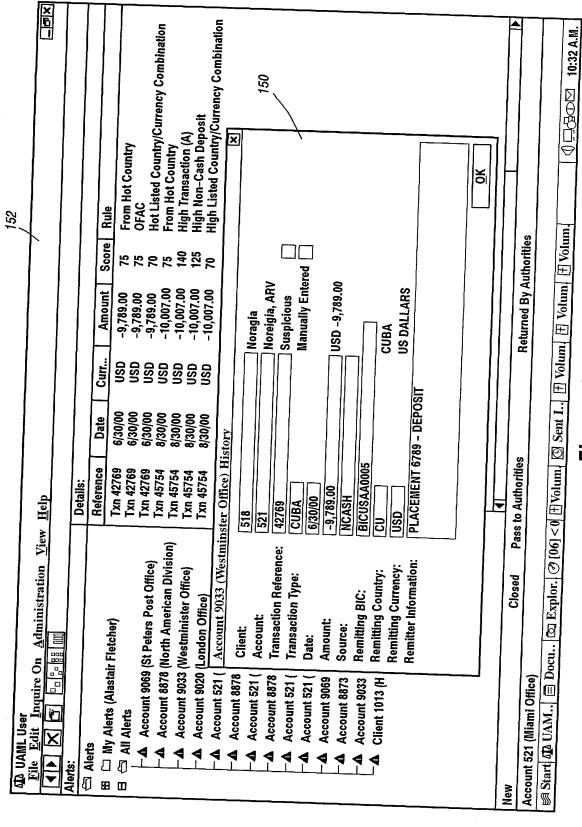


Figure 15

154 X 10:35 A.M. × 1 Cash Placement Objective Cash Placement Relative We consider the source of recent transactions against this account to be suspicious, please see the attached file Continued activity on this account with considerable smaller deposits over the last few days. Please Investigate. 엉 High Transaction (A) Corruption Comment Corruption Corruption Corruption ■ Docu.. Score 2222222 F Volu.. Returned By Authorities [6/27/00 Jeremy Edbrook]
This client currently being monitored on corruption charges in London **[6/28/00 Alasyair Fletcher]**Thank you very much for the disclosure. We will take over from here. -1,004.00 -11,002.00 -11,002.00 -11,002.00 -11,002.00 -2,003.00-1,503.00Amount # Volu.. | # Volu.. Currently checking archived history against this account 68P 68P 68P 68P 68P 68P Account 9033 (Westminster Office) History 6/1/00 6/2/00 6/24/00 6/24/00 6/24/00 6/24/00 6/25/00 Alastair Fletcher Start (To VAM. | Docu. | Explor. | [07] < . | Tolu. | Sent I. [6/1/00 Jeremy Edbrook] [6/5/00 Alastair Fletcher] Senior NCIS Rep Officer Txn 20210 Txn 20210 Txn 20210 Txn 20211 Reference Txn 20206 Txn 20210 Txn 20207 Pass to Authorities Details: File Edit Inquire On Administration View Help [6/27/00 A Account 8878 (North American Division) Account 521 (North American Divisio -▲ Account 9069 (St Peters Post Office) Closed Account 9033 (Westminister Office) Account 9020 (London Office) Account 8878 (Florida Office) Account 8878 (Miami Office) Account 521 (Florida Office) Account 521 (Miami Office) Account 9069 (Head Office) Account 8873 (Head Office) Account 9033 (Head Office) Account 521 (Head Office) My Alerts (Alastair Fletcher) Client 1013 (Head Office) Account 9033 (Westminister Office) All Alerts Alerts 4 **_** Alerts: ⊞

Serial No. N/A

TRANSACTIONS" Sheet 14/14

Inventors: Bosworth-Davies et al. Title: "COUNTERMEASURES FOR IRREGULARITIES IN FINANCIAL

Figure 16